





# **Export** of Live Animals

Requirements for the Export via Livestock Vessels

April 2025 version 02

Directorate for Animal Protection (Direção de Serviços de Proteção Animal)

Directorate-General for Food and Veterinary (Direção-Geral de Alimentação e Veterinária)





# **Table of Contents**

	Table	e of	Contents	3
1.	Inti	odu	ction	. 1
2.	Ani	mal	Identification Requirements	.3
	2.1.	Boy	vine Animals	3
	2.2.	Sm	all Ruminants	3
3.	Ani	mal	Health Requirements	.4
	3.1.	Qua	arantine Establishment Approved by the DGAV	4
	3.1.	1.	Beginning of the Quarentine	.5
	3.1.	2.	Duration of the Quarentine	.5
	3.2.	He	alth Guarantees	.5
	3.2.	1.	Analytical Requirements	6
	3.2.	2.	Origin of the Animals	6
	3.2.3.		Vaccination of the Animals	6
	3.2. follo		No later than 3 working days before the intended date of shipment, the g must be forwarded to the DSAVR/DAV:	
	3.3.	Rel	ease of the Animals from the Quarantine permisses	.7
4.	Ani	mal	Welfare Requirements	8
	Reg	ulat	ion (EC) No.1/2005 of 22/12/2004 on the protection of animals during	
	3.1.	_	tification of the livestock vessel and authorization of the maritim	
	0			
			Certification of Livestock vessels	
	3.1.		Maritime Transporter Authorisation in Portugal	
	3.2.		oort Organiser	
	3.3.		nney planning Erro! Marcador não definido	
	4.4. sub		Road Transport between the farm and the Maritime Port and the sent Loading of the animals	24
	4.4.	.2	Maritime Transport	25
	4.4.	3	Documentation to be Sent after the Operations 2	25





nexes27	A
Annex I - Application for Export Quarantine Approval27	
Annex II - Application for Certification of a Livestock Vessel (Regulation (EC) No. /2005, DE 22/12/2004)	
Annex III - Application for Maritime Transporter Authorization	
Annex IV - Updated Transporters List (Excel)2	
Annex V - Application for Livestock Vessel Inspection for the Loading and Transportation of Animals on Livestock Vessels (Part I and Part II - Excel)	
Annex VI - Final Report on the Export of Live Animals via Livestock Vessel 6	
Organiser: Livestock Vessel	
National Seaport of Departure:	
Annex VII - Documentation List9	
Summary Table of Documentation Required for Export Operations	





### 1. Introduction

The export of live animals via livestock vessels from national sea ports requires the mandatory compliance with a set of general procedures and requirements for Animal Identification, Animal Health and Animal Welfare, as well as compliance, also mandatory, with all the conditions required by the country of destination and contained in the respective model certificates.

This document, which addresses and systematises the general procedures and requirements to be complied with for the export of live animals, is presented by the following segments:

- Identification requirements
- Animal health requirements
- Procedures and requirements for animal welfare during transport Regulation (EC) No. 1/2005 of 22/12/2004 on the protection of animals during transport, Commission Implementing Regulation (EU) 2023/372 of 17 February 2023, laying down rules on the recording, storing and sharing of written records of official controls performed on livestock vessels, on contingency plans for livestock vessels in the event of emergencies, on the approval of livestock vessels and on minimum requirements applicable to exit points, and Commission Delegated Regulation (EU) 2023/842 of 17 February 2023, supplementing Regulation (EU) 2017/625 of the European Parliament and of the Council as regards rules for the performance of official controls to verify compliance with animal welfare requirements for the transport of animals by livestock vessels:
- ✓ Certification of the livestock vessel
- ✓ Authorisation for maritime transport operators in Portugal
- ✓ Authorisation of road transporters and road transport requirements
  - Journey planning and loading operations of animals
- ✓ Annexes, including an outline of the documentation required and the time schedule.

It should be reiterated that the conditions for the export of live animals vary according to the country of destination, whereby in addition to compliance with all the general procedures and requisites described in this document, there must also be compulsory

www.dgav.pt 1/51





compliance with all the specific requisites imposed by third countries and which are contained in the models of certificates approved for each destination.

www.dgav.pt 2/51





# 2. Animal Identification Requirements

#### 2.1. Bovine Animals

Bovine animals are identified as provided for in national rules, with:

- ✓ Two ear-tags, or
- ✓ electronic *ruminal bolus* and two ear-tags.

#### 2.2. Small Ruminants

Sheep and goats are identified with:

- ✓ electronic *ruminal bolus* and one ear-tag, or
- ✓ electronic ear-tag kit.

After the individual identification of sheep and goats, the keeper must communicate to the National Animal Information and Registration System (SNIRA) database, through iDigital (identification registration form), the official identification number, species, breed, sex, year and month of birth and date of identification.

Sheep and Goats intended for intra-Community trade or trade with third countries are individually identified on the holding of birth.

Animals must be identified individually up to the age of 6 months and in any case before they leave their holding of birth (whichever is the sooner). For sheep and goats kept in extensive farming conditions, the period for identification may extend until they are 9 months old.

#### **Identification Control**

The identification checks will be carried out prior to the animals' departure, as announced by the respective regional veterinary service (DSAVR - Direção de Serviços de Alimentação e Veterinária da Região) - Regional Directorate for Food and Veterinary.

The identification control is carried out in administrative form, from the global lists of animals presented in the *packing list*, verified against other official records. Physical checks are also made on the animals prior to loading, as announced and agreed with the respective DSAVR. Depending on the size of the consignment, the physical check may be carried out by means of sampling.

www.dgav.pt 3/51





Whenever a protocol for certification purposes exists, the DSAVR will carry out supervisory checks to monitor compliance of the physical checks carried out by the registered Veterinarians.

The use of electronic identification in bovine animals may dispense with the prior identification control, this being carried out only at the time of boarding of the animals.

# 3. Animal Health Requirements

In order to control the sanitary requirements, the following procedures should be taken into consideration.

### 3.1. Quarantine Establishment Approved by the DGAV

The export process begins with the establishment of a QUARANTINE approved by the DGAV.

Within <u>35 days prior to travel</u>, the following documentation must be sent to the DSAVR, which will certify the animals, regarding each quarantine:

Application (Annex 1) for export request and quarantine approval containing:

- o Name and contact of person in charge,
- o Holding mark and VAT number,
- o Location of the quarantine,
- o Title No. / Livestock Activity Registration Process (REAP),
- o Date of beginning of the quarantine,
- Responsible Veterinarian, professional card number and contact details.
  - List of animals entering quarantine (in Excel, template to be requested to the DSAVR). The following will be mentioned for each animal:
- o Ear-tag, 4 terminal digits of the ear tag, breed, sex,
- Place of birth (Portugal) and date of birth,
- o Bluetongue vaccination (Yes/No),
- Dates of 1st and 2nd bluetongue vaccination,
- Dates of Pre-movement Tests (TPM)

www.dgav.pt 4/51





- o Comparative tuberculinisation (IDTC) and the blood collection for brucellosis),
- o Date of samples collection for other diseases,
- Mark of holding of origin, date of entry into the holding,
- o No. of the Park.

Additional data may be eventually requested, by decision of the Food and Veterinary Unit (DAV).

• Plan of the site, indicating the location of the parks and the identification of the lots.

The quarantine facility must be a place or establishment, under the supervision of the DGAV, where the animals are kept isolated and under observation for a defined period, without direct or indirect contact with other animals that have the same sensitivity to disease agents, and when applicable, submitted to diagnostic tests or treatment.

A holding mark may have several quarantines provided that they are properly isolated from each other and stable.

This is to ensure that there is no possibility of transmission of pathogens from outside the site or establishment during the period referred to.

#### 3.1.1. Beginning of the Quarantine

The quarantine will begin on the date of entry of the documentation into the Food and Veterinary Unit (DAV).

#### 3.1.2. Duration of the Quarantine

The minimum duration of the quarantine for all species and types of animals is 30 calendar days.

After the quarantine has begun, no new animals are allowed to enter the quarantined lots.

#### 3.2. Health Guarantees

The animal health requirements are specified in the respective HEALTH CERTIFICATES.

www.dgav.pt 5/51





#### 3.2.1. Analytical Requirements

The analyses to support certification may only be carried out at the INIAV, in a laboratory approved for the eradication plans or in a laboratory approved for certification purposes, in accordance with procedures available on the DGAV Portal, at:

https://www.dgav.pt/wp-content/uploads/2021/08/lista laboratorios reconhecidos 24 08 2021.pdf

#### 3.2.2. Origin of the Animals

Bovine animals should come from holdings officially free of brucellosis, tuberculosis and when applicable enzootic bovine leukosis, or from EB4T3L4 health status fattening farms, within the last 3 years, even if they have passed through an assembly centre.

Ovine animals must come from breeding holdings officially free of brucellosis or fattening farms with health status E (Fattening) for the last three years, even if they have passed through an assembly centre.

Age and Sex of the Animals

The animals (bovine animals and sheep) should be selected taking into account the age and sex intervals required in the current export protocols/certificates.

#### 3.2.3. Vaccination of the Animals

The animals must already be vaccinated with at least the first dose of the bluetongue vaccine (unless introduced into quarantines early enough to meet the exit requirements only 60 days after the 1st inoculation). Blue Tongue vaccination rappel is performed 21 days after the first vaccination, when applicable.

Diagnostic Tests for Brucellosis and Tuberculosis

With regard to brucellosis and tuberculosis diagnostic tests, these must always be carried out in the last 30 days prior to shipment, on a date to be agreed with the DSAVR (with results issued at least 3 working days prior to shipment), which may accompany the carrying out of tuberculinisation and blood collection.

In cases where the DSAVR determines the need for repeat testing of animals or batches, the results must be available at the latest 48 hours prior to shipment - otherwise the animals may be rejected for shipment.

www.dgav.pt 6/51





#### Other Diagnostic Tests

With regard to the other diagnostic tests, these must be carried out in order to comply with the health certificate and in accordance with the instructions of the DSAVR.

The results of the tests performed must be known by the DSAVR at least 3 working days prior to travel taking into account the requirements set out in the health certificate.

In cases where the DSAVR determines the need for repeat testing on animals or batches, the results must be available no later than 48 hours prior to shipment - under the risk of the animals may be rejected for.

Updating of the Animal List (point 3.1)

3.2.4. No later than 3 working days before the intended date of shipment, the following must be forwarded to the DSAVR/DAV:

- The Excel list according to the model to be annexed to the certificate,
  - the health attestations relating to compulsory metaphylactic actions.

**Identification and Shipment Control** 

On the day of the identification check / or on the day of shipment:

The date/time of the ID check is agreed with DSAVR/DAV.

✓ Ear tags should be available on the holding, to be applied in case of need.

# 3.3. Release of the Animals from the Quarantine premisses

For the purpose of updating the official databases in the original Operation Mark, the respective sealed movement forms must be issued by the keeper to the operation mark of the port of shipment, as indicated by the DSAVR. These guides will be validated by the DGAV.

Whenever the shipment does not complete all the animals in quarantine, the remaining animals may be moved to a further quarantine and as far as possible kept in the same park.

www.dgav.pt 7/51





# 4. Animal Welfare Requirements

# Regulation (EC) No.1/2005 of 22/12/2004 on the protection of animals during transport

It is the Organizer's duty to plan and coordinate all stages of transportation, as provided for in Article 5 of Regulation (EC) No. 1/2005, of 22/12/2004.

In this sense, all the documentation mentioned below must be sent to the Animal Welfare Unit (DBEA), as well as the provision of all clarifications deemed necessary.

The Organiser shall submit the request for inspection also to the Portugues Maritime Competent Authority (DGRM) according to this authority procedure.

For the purpose of exporting animals in a livestock vessel, the following aspects must be taken into consideration:

- Certification of the livestock vessel and authorisation of maritime transporter,
- · Export organiser,
- Authorisation of road transporters and road transport requirements,
- Journey planning,
- · Operations.

# 4.1. Certification of the livestock vessel and authorization of the maritime transporter

The transport of animals by sea can only take place on approved livestock vessels. The authorisation of livestock vessels issued by any Member State is recognised as long as it is valid, i.e. within its validity period of and not suspended or withdrawn by the issuing Member State.

The ships certified in other Member States, must go through an analysis process on their first load in Portugal, the non-certified ones, through the process explained in this point (4.1.1). The maritime transporter must be authorised by the Member State where it is based (4.1.2).

www.dgav.pt 8/51





#### 4.1.1 Certification of Livestock vessels

When the livestock vessel does not have a valid approval for the transport of animals issued by a Member State, the transporter must apply for approval <u>at least 20 working days before the expected loading date</u>, by means of an application (Annex 2) sent electronically to the DGAV, containing details of the livestock vessel and the IMO company operating it, as well as the applicant's contact details.

The maritime transporter is the company that operates the ship and holds an ISM (*International Safety Management*) certificate.

Please note that the approval of the livestock vessel requires one or more surveys, to be carried out at least 4 days before the date scheduled for the beginning of the shipment. For this purpose, the vessel must be clean and empty, and all compartments and equipment must be operational.

The certification requires the verification and validation of all documents requested, as well as the full correction of the irregularities found during the survey(s).

For the purposes of **certification of livestock vessels**, the following data and documents should be sent:

#### Requirement - Annex 2;

- Evidence of the data provided in the application and, if applicable, of the legal representation;
- \*Statement by the transporter (company operating the ship) undertaking to inform the Competent Authority issuing the vessel's certificate of approval of any modification to the ship that has an impact on animal welfare.
- Vessel's plans including the following aspects:
- \*General plan, with the location and identification of pens, corridors, access to ramps, pumps, feed storage area and different types of tanks. To include usable area per animal per deck and compartments, excluding corridors, access to ramps and separation area for sick/injured animals.
- \*Security plan, specifying the location of the main and secondary pumps, description of the fire pump circuit or seawater collection system, location of extinguishers, lights, alarms and generators. This description should include

www.dgav.pt 9/51





information on the main and emergency generators, namely the type, KW or KVA and technical characteristics of the extinguishers.

- \*Water system plan, specifying the water distribution system, the location of the main and secondary pumps, desalination devices, fresh and sea water network, water storage tanks and the No. and type of water dispensers per compartment. The technical characteristics should be described, of the desalination apparatus (type, daily production and capacity), of the fresh and sea water pumps (maximum and minimum production and flow rate in m³/h) as well as the capacity of the various tanks.
- \*Drainage Plan, specifying the drainage system for waste water and manure, showing the flow from the compartments to the collection tanks, the drainage systems, the drainage pipes, the alarms, the pumps and the tanks. The characteristics of the pumps, including minimum and maximum flows and m<sup>3</sup>/h, must be provided.
- \*Ventilation Plan, specifying the location, type and capacity of the ventilators on each deck, as well as the number of air changes per hour.
- \*Lighting Plan, specifying the location of the main electricity sources, main generators and emergency alarms, ventilation, lighting and top drainage system. The technical characteristics of generators (type, KW or KVA) should be provided. The information in this plan, namely the characteristics of the generators, may also be provided in the safety plan.
- \*Contingency Plan, specifying the procedures adopted in cases of animal disease and in cases of accident, with a description of the emergency killing methods used and the measures for removing carcasses. Description of the procedures adopted in situations likely to compromise the welfare of the animals, such as break-downs, delays during the journey, impediments to unloading in the third country, the presence of animals with an infectious or contagious disease or any other condition or trauma compromising the fitness of the animals for transport, including a description of the emergency slaughter methods used and the measures for the removal of the carcasses. The contingency plan should also provide for the measures to be adopted in cases where there is weather or sea conditions which could compromise the welfare of the animals. For this purpose, measures such as reducing the loading density whenever the forecasted temperatures exceed 30°c should

www.dgav.pt 10/51





be established at any stage of the transport, i.e. during the loading operation on the vessel, during the voyage and on arrival at the place of destination.

- **\*Crew members' profile**, including a list of members, their individual experience and training in the handling and care of animals.
- \*The vessel's internal procedures, regarding the care to be given to the animals and the guarantee of Animal Welfare. These procedures must be established from the outset, thus defining the requirements to be met, the responsibilities of each of the intervening parties, the monitoring to be carried out and the corrective measures in case situations arise that compromise the welfare of the animals. Therefore, and in this perspective, these procedures must include, among others, the following aspects:
  - Environmental monitoring- Definition of the adequate environmental (temperature, humidity) and ventilation parameters. Measures to be adopted whenever these parameters, as well as gas concentration (ammonia), are exceeded;
  - <u>Feeding and watering the animals</u>- Type, frequency and amount of feed and water supplied to the animals;
  - Bedding Management of bedding, including frequency of removal or replacement of bedding, when appropriate;
- Measures adopted in situations of illness, trauma or death of the animals
   Take into account the vessel's contingency plan, including the methods for emergency killing of the animals.
- Records should be provided of the different aspects mentioned above, in particular environmental parameters, feeding and watering, bedding management, the care of the animals, mortality, trauma or other conditions which have led to intervention by the people in charge. These records must be made available to the Competent Authority upon request.
- Definition of the responsibilities in the handling and emergency care of animals;
- Definition of the measures taken in situations of equipment malfunction or failure, including water supply equipment, fans, wastewater and manure pumps.
  - \*Stability book accepted by a classification society or any other society approved by the Competent Authority. The vessel's stability

www.dgav.pt 11/51





requirements shall be maintained at all times during the voyage, taking into account the effect of movement, wind, food and manure. It is the Captain's responsibility to ensure that these requirements are met, and a declaration to this effect should be submitted to him.

- \*Vessel's classification (Load lines, SOLAS and MARPOL) and evidence of checking of compliance with Regulation (EC) No. 1/2005 of 22/12/2004. The classification society must be a member of the International Association of Classification Societies (IACS), or any other classification society located in the EU. These certificates shall include:
- o Classification certificate as a livestock vessel
- o Certificate hull and machinery class certificate
- Certificates/attestations, issued by a classification society and a technical expert (an Official Inspector of the Navy, a classification society expert accepted by the Competent Authority or an Engineer accepted by the Competent Authority), certifying that the requirements of Annex I, Chapter IV, Section 1 of Regulation 1/2005 are met, namely with regard to:
  - Ventilation
  - Animal watering system
  - Drainage
  - Lighting
  - Fire protection system
  - Monitoring controls and alarm systems in the engine room
  - Primary and secondary energy sources.
  - **(\*) Reports of the captain of the ship**, for the last 5 journeys (if applicable), including details of mortality, administration of medicines to animals, consumed food and water, management of pen cleaning and bedding management, records of temperature, humidity and ammonia content, description of the planned journey including the main ports of unloading and staging and the intended frequency of journeys to be made.

www.dgav.pt 12/51





The transporters of livestock vessels holding a certificate issued by another Member State of the European Union must, for documentary verification purposes, submit the following documents:

#### Vessel's Certificate of Approval;

• Other documents marked with "\*" in the previous list.

#### 4.1.2 Maritime Transporter Authorisation in Portugal

If the maritime transporter does not have the authorisation, he/she must also apply for it in accordance with Article 11 of Regulation (EC) No. 1/2005 of 22 December 2005.

If the company has its head office or legal representative in another EU member state, he/she must apply for this authorisation to the respective member state. The company applying to the DGAV for a Maritime Transporter Authorization must have its headquarters or legal representation in Portugal <u>duly recognised</u>, with whom the DGAV will interact in all relevant and necessary matters, related to applications for authorisation and/or certification of a livestock vessel.

For purposes of **maritime transporter's authorization**, the following data and documents should be sent:

Application - Annex 3 - Annex 3;

• Evidence of the data provided in the application and, if applicable, of the legal representation.

Company's plans including the following aspects:

- **List of the livestock vessel's** operated by the company.
- Contingency plan, specifying the procedures adopted in situations likely to compromise the welfare of the animals, such as break-downs, delays during the journey, impediments to unloading in the third country, the presence of animals with an infectious or contagious disease or any other condition or trauma compromising the fitness of the animals for transport, including a description of the emergency slaughter methods used and the measures for the removal of the carcasses. The contingency plan should also provide for the measures to be adopted in cases where there is weather or sea conditions which could compromise the welfare of the animals. For this

www.dgav.pt 13/51





purpose, measures such as reducing the loading density whenever the forecasted temperatures exceed 30°c should be established at any stage of the transport, i.e. during the loading operation on the vessel, during the voyage and on arrival at the place of destination.

- Internal procedures for livestock vessels, in the case of requests for the authorisation of a maritime transporter dissociated from the certification process of a livestock vessel, in relation to the care to be provided to the animals and the guarantee of Animal Welfare. These procedures should be established from the start, thus defining the requirements to be complied with, the responsibilities of each of the intervening parties, the monitoring to be carried out and the corrective measures in case situations arise that jeopardise the Welfare of the animals. Therefore, and in this perspective, these procedures should include, among others, the following aspects:
  - Environmental control definition of the appropriate environmental (temperature, humidity) and ventilation parameters. Measures to be taken whenever these parameters and the concentration of gases (ammonia) are exceeded;
  - Feeding and watering the animals type, frequency and quantity of feed and water provided to the animals;
  - <u>Beds</u>- Bedding management, including frequency of removal or replacement of bedding, when warranted;
  - <u>Definition of responsibilities</u> in the management and emergency care of the animals;
  - Definition of the measures taken in situations of equipment malfunction or failure, including water supply equipment, ventilators, wastewater and manure pumps.

## 4.2. Export Organiser

The new organisers must initially submit, in due time, to the DGAV, information proving that they have human resources, equipment and logistics structure capable of ensuring compliance with the requirements of the European Regulation mentioned above. To this end, a meeting with the Animal Welfare Unit of the Directorate for Animal Protection Services (DBEA-DSPA) of the DGAV should be requested in order to avoid incidents of misunderstanding or lack of knowledge of the applicable rules.

www.dgav.pt 14/51





In the case of exports carried out by more than one organiser, they should organise themselves and appoint a representative who will act as liaison between them and the DGAV.

It is the responsibility of each organiser to have the necessary and sufficient capacity to, in compliance with the deadlines, provide all the necessary elements to the DGAV, or in the case of exports with more than one organiser, to the representative of the organisers so that he/she can provide such elements to the DGAV.

The organiser must also ensure training and education of the employees that handle the animals, in the best practices of animal handling and welfare, since the proper development of loading/unloading operations of animals is essentially based on a correct human performance.

The organiser must also submit a Final Report to the Competent Authority (DGAV) within 5 working days of the animals unloading at their destination (in accordance with the model in Annex 6).

# 4.3 Road Transporter's Authorisation and Road Transport Requirements

Road transporters, who will transport the animals between the holdings of origin and the seaport, must be authorised to operate as transporters of live animals, under Regulation (EC) No 1/2005 of 22/12/2004, for the species they propose to transport.

Drivers must hold a certificate of professional competence (CAP) issued under the aforementioned Regulation.

The requirements for animal transportation set out in Regulation (EC) No. 1/2005 of 22/12/2004 must be complied with, namely regarding the animals' fitness, means of transport, handling, loading and unloading and space per animal.

For this purpose, organisers must submit a **list of transporters** containing <u>all the transporters</u>, vehicles and drivers who they usually work with, being the organisers committed to keeping this list <u>permanently updated</u>, which should be sent 5 working days before the date set for the beginning of operations, in Excel list format (Annex 4), containing the following information:

www.dgav.pt 15/51





#### Identification of road transporters;

- No. of authorisation of the transporters of animals and date of expiry;
- Respective plates of the vehicles to be used 3 columns should be created for this item (one for the **tractor** vehicles without cargo box, another for the **trucks with cargo boxes** and a third one for the **trailers**);
- Species authorised for transport (by means of transport);
- Total cargo box area in m<sup>2</sup> of the cargo boxes (trailers and semi-trailers);
- Full name and no. of Professional Ability Certificate (CAP) of drivers/attendants (and respective validity). In the list of transporters, it is not compulsory to match the name of the driver/attendant to the vehicle, as the aim is for this document to be as stable as possible. This information (vehicle/driver) should be duly consolidated in the transport planning (document that will be specific for each export).
- The list validated by the central services of the DGAV will be sent in pdf format with the validation date and will be valid until any changes occur (expiry of documents or introduction of new transporters, drivers or vehicles, etc....).

However, whenever any modifications are made, these must be sent and marked on the Excel list in a different colour, for new validation by the DGAV central services.

In the case of foreign transporters, proof of transporters' authorisations and loading vehicles (tractors are not required), as well as proof of drivers' professional aptitude certificates must be sent.

In the case of exports with several organisers, the lists of transporters validated for each organiser may be sent.

The planning of loading on holdings will have to be sent only in a single document explained in 4.5.1, perfectly coordinated regarding the schedules of the various road transports to be carried out.

To calculate the number of animals to be transported in each vehicle, it will be necessary to consider the dimensions of the vehicles (loading box), the estimated weights of the animals and the space tables in Chapter VII of Annex I of Regulation (EC) No. 1/2005 of 22/12/2004, which we transcribe below:

www.dgav.pt 16/51





#### For Bovine animals / road transport

Category	Approximate weight Kg	Area per animal in m²		
Breeding calves	50	0,30 a 0,40		
Medium-sized calves	110	0,40 a 0,70		
Heavy calves	200	0,70 a 0,95		
Medium-sized bovine animals	325	0,95 a 1,30		
Adult bovine animals	550	1,30 a 1,60		
Large bovine animals	>700	> 1,60		

#### **For Sheep**

Category	Approximate weight Kg	Area per animal in m2		
Shorn sheep and lambs of 26 kg and over	<55	0,20 a 0,30		
of 20 kg and over	>55	>0,30		
II. d d	<55	0,20 a 0,40		
Unshorn sheep	>55	>0,40		
Sheep in a late pregnancy	<55	0,20 a 0,50		
stage	>55	>0,50		
	<35	0,20 a 0,30		
Goats	35 a 55	0,30 a 0,40		
	>55	0,40 a 0,75		
Goats in a late pregnancy	<55	0,20 a 0,50		
stage	>55	>0,50		

As an example, for bovine animals the following calculation should be taken into account in the road transport planning of the <u>number of animals per weight category and per cargo vehicle</u>:

Registration	Dimensions	Reference	No. of animals to transport
--------------	------------	-----------	-----------------------------

www.dgav.pt 17/51





		Kg-area in m² per animal (Bovine animals)	
	61,3 m <sup>2</sup> Lower Ground Floor - 32.2 m <sup>2</sup> Upper floor - 29,1 m <sup>2</sup>	(200-325) - (0,7- 0,95)	87-64
XX-YY-ZZ	61,3 m <sup>2</sup> Lower Ground Floor - 32.2 m <sup>2</sup> Upper floor - 29,1 m <sup>2</sup>	(325-550) - (0,95-1,3)	64-46
	Lower Ground Floor - 32.2 m <sup>2</sup> Upper floor - 29,1 m <sup>2</sup>	(550-700) - (1,3-1,6)	46-38

The loading density on vehicles must take into account the weather conditions during loading operations on the holdings and on the vessel.

Please note that the maximum weight allowed per vehicle (trailer or semi-trailer) under road legislation must not be exceeded.

# 4.4. Journey planning

The application for livestock vessel inspection (Annex 5) as well as the documentation mentioned below and the entire voyage planning should be sent **in due time**, **at least one week (5 working days)** before the date scheduled for the start of operations, thus allowing for the evaluation of the process, the allocation of resources and the organisation of controls.

The deadline for sending any modifications to the above mentioned documentation shall be 2 working days before the date set for the beginning of operations.

www.dgav.pt 18/51





The scheduling of the inspection of the vessel and the beginning of operations is subject to the submission of all documentation and compliance with the deadlines provided above.

The arrival of the vessel and the loading of the animals must be planned to take place during weekdays, avoiding weekends and public holidays, since the necessary resources will have to be secured to carry out the compulsory checks for this type of export.

The application and all other details should be sent to the following *mailing list* exportacoesnavios@dgav.pt.

The <u>application (Annex 5)</u> is divided into two parts, the second being a segment which must be delivered in <u>Excel format</u>, and which details the <u>information on the animals</u> to be exported and their <u>import licences</u> and whose <u>full completion is mandatory</u>.

The following documents (see list in Annex 7) must be submitted together with the application:

- The certificate of approval of the livestock vessel issued under Regulation (EC) No 1/2005 of 22/12/2004.
- The <u>vessel's loading plan</u>, document must be delivered indicating the number of animals in each pen of the vessel, according to species, weight class and area occupied per animal (based on Regulation (EC) No 1/2005 of 22/12/2004) one week (5 working days) before the scheduled departure date.
- An essential document when planning a trip is the <u>import license</u> of live animals, since it guarantees the existence of conditions for the reception of the animals, in the country of destination. The import licence must be submitted in its original version and accompanied by a translation into Portuguese or English.
- The organiser **contingency plan**, which includes the following aspects:
- o Official emergency contacts, of those in charge (organisers), and of the Veterinarians.
- Replacement vehicles in the event of breakdown or accident or any other unforeseeable situation.

www.dgav.pt 19/51





Means of emergency killing of the animals at any stage of the process, bearing in mind that only animals that fit for transport can be transported - take into account the DGAV Emergency Slaughter Procedure, available at:

https://www.dgav.pt/wp-content/uploads/2021/03/Aptidao\_transporte\_abate\_emergencia\_Guia\_Boas\_P raticas\_Junho\_2014.pdf.

- o Means for the capture or killing of animals which may escape.
- Separation of animals which are weak or fallen when they arrive in port.
- Emergency holding in the area of the port of shipment, in case it is impossible to unload them at the port and they cannot return to their holding of origin for animal welfare reasons. This holding must be as close as possible to the seaport (it is advisable that the journey time for the animals to this holding is a maximum of one hour).
- Measures taken to mitigate the effect of high temperatures on animal welfare (e.g. reduction of density in road transport; length of time vehicles remain on the port).
- The organiser should also indicate what kind of feed will be provided to the animals during the sea voyage:
  - Type and quantity of feed that will be given to the animals, with clear reference to whether it is medicated feed or not. In the case of medicated feed, the requisition for a medicated premix, issued by a veterinarian, must be submitted.
  - The feed supplied must comply with feed standards, in particular the supplements and additives used must be authorised in the European Union.

The following must also be submitted:

- Declaration of the owner(s) of the Emergency Holding Marks. These declarations should preferably indicate their expiry date, so that they remain permanently updated;
- Declaration of the cargo platform owner stating that he/she intends to use its platform or will cede its use to someone else, whenever he/she cedes its use. In exports with various organisers, preference should be given to using as few platforms as possible, and the platforms to be used should be clearly

www.dgav.pt 20/51





identified, as well as the sequence of their use, indicating the date/time when the change from one platform to another will take place;

- Evidence that the loading platform has been cleaned and disinfected;
- Replacement vehicle and driver;
- Declaration of the presence in situ of the assistant Veterinarian (name, professional card and contacts);
- COVID 19 contingency plan (as applicable);
- Information on the condition of the fleece of the sheep (whether or not shorn) in order to assess the heat stress of the animals;
- Loading plan for road vehicles on holdings;
- · Livestock vessel loading plan;
- Mortality report on the last 5 voyages made by the vessel;
- Crew list (indicating name, identification no. and length of experience in animal transport and training acquired, taking into account the requirements of Regulation (EC) No. 1/2005 of 22/12/2004);
- Declaration of cleanliness and disinfection of the vessel;
- List of medicines on board (withdrawal period, quantity and validity);
- Declaration of the commitment of the captain to provide the Competent Authorities (DGAV and of the third country) with information regarding the administration of medicines;
- Record of the medicines administered during the journey;
- Veterinary prescription for the medicines supplied by the organiser (if applicable);
- Indication of the type of feed(medicated or non-medicated);
- If the feed is medicated, the veterinary prescription should be provided;
- Procedure to ensure the segregation of medicated feed from non-medicated feed (if applicable);
- Declaration of commitment by the captain to deliver information on medicated feed to the CAs (if applicable);
- Label of the feed to be sent (English and Portuguese) and, in the case of medicated feed, there must be a very clear mention that it is medicated feed;
- Quantity of feed to be supplied (concentrated feed and straw);
- Quantity of bedding material to be supplied (sawdust and straw);

www.dgav.pt 21/51





- Planning of the ship for bedding operations:
- Forecast of maintenance operations to the vessel- Statement clearly indicating whether the vessel has any maintenance work planned to be carried out at the port of shipment and, if so, detailed indication of each of the works to be carried out should be provided, and a declaration that the maintenance operations will not interfere with the welfare of the animals and will not increase the duration of the animal transport (in accordance with the application for authorisation that is requested by the shipping agent from the competent authorities).
- Temperature forecast for the ship's voyage, and master declaration about the safety of the navigation of the vessel (can be sent/delivered at a date closer to the beginning of the operations.
- Fuel autonomy declaration, to make sure that there is no fuel filling up with animals a board
- Contingency plan of the vessel
- Stability calculations and declaration about these adequacies to different times of the journey
- If applicable, identify and present CV of the stockman/veterinary aboard for DGAV appreciation.

#### **Operations**

Loading operations of the animals in the holdings are agreed with the DSAVR of the areas where the holdings are located and loading operations in seaports are agreed with the DSAVR where the port is located, in accordance with the human resources planning for monitoring the operations.

The time spent at the seaport platform should be as short as possible. Whenever the permanence time is longer than 4 hours or when unforeseen situations occur, conditions must be in place to keep the animals in the seaport accommodation, watering and feeding conditions) or, if these conditions do not exist in the seaport, measures must be foreseen in the contingency plan to ensure compliance with the above mentioned (holdings or rear places where the animals can be accommodated while awaiting the resumption of operations).

www.dgav.pt 22/51





The Organizer must present, in the contingency plan, a rear location, due to lack of accommodation, watering and feeding conditions in the seaport, as well as a declaration proving the authorisation for the use of that location, for the above-mentioned purpose, signed by the owner of said location.

The order of survey of the vessels involved in operations organised by different organisers will be defined considering the following cumulative criteria:

Data de envio do requerimento para exportação de animais vivos;

- Date of arrival to the vessel;
- Compliance with the deadlines defined in this point for the submission and validation of all documentation necessary for the purpose of surveying the vessel and authorizing operations.

Animal loading operations can only begin after the vessel has been approved and this has been communicated to all those involved in the process (Organizers and Regional Directorates for Food and Veterinary - DSAVR).

As an indication and for better orientation, the following timetables are established for the beginning of the loading on the holdings:

At 14:00 whenever the inspection is completed in the morning (no later than 13:00);

- In the cases where the vessel is inspected in the afternoon, operations may only start the next working day;
- In the case of inspections carried out on Fridays or on the eve of national public holidays, shipment is only permitted if it starts in the morning and can be completed until midnight.
- However, the DGAV may authorize the reduction of the aforementioned period taking into account internal work planning.
- In the other cases, in which the day of inspection differs from the loading start date, the schedule of these operations must be assessed by the respective DSAVR of the holdings of origin so as to ensure that sufficient conditions exist to ensure proper control of these operations.

It should be noted that, <u>no changes to previously authorised planning shall be permitted</u>. Only situations that can be considered as being of force majeure and that endanger the

www.dgav.pt 23/51





welfare of animals in transit to the port of shipment or already in the port awaiting loading will be taken into account. In this case, authorization will be given by the respective territorially competent Director for Food and Veterinary, after assessing the situation and the possibility of allocating sufficient official staff to the control operation in question.

Any change to the date initially planned for the operations must be duly justified and promptly notified to the DGAV services (central and regional services).

# 4.5.2 Road Transport between the farm and the Maritime Port and the subsequent Loading of the animals

The trip planning should include the following general information:

- **Updated List of transporters** and as defined in 4.3 of this document (Annex 4).
- **Loading plan on holdings**, <u>document in Excel format</u>, presented per holding of origin and consignment of animals to be transported (road trip). As regards the data of transporters, this document does not need to be exactly the same as the list of transporters, as it may contain fewer transporters, vehicles or drivers than the list of transporters, as not all transporters/vehicles are always needed. What is important is that all transporters, vehicles and drivers in the planning are present in the validated list. For each journey between the farm of origin and the port, it will be described:

No. of voyage order between holdings and maritime port,

- Holdings of departure of the animals Identification, Mark and location,
- No. and order of vehicles to be used.
- Identification of the transporter of animals, vehicle and driver with CAP,
- Number of animals to be loaded per vehicle (bearing in mind the space requirements per animal in transport, the time of day the animals will be loaded and the weather conditions expected),
- Date and time of departure of the animals from the holding,
- Duration of the road transport from the holding to the seaport,
- Date and time of arrival of animals at the seaport,
- Estimated time spent at the sea port,
- In the case of means of transport with more than one load the planning must indicate the authorised cleansing and disinfection facility where the vehicles

www.dgav.pt 24/51





will be washed and disinfected. The washing and disinfection time of the vehicles should be considered in the operation planning.

Only animals which are fit for transport in accordance with the provisions of Chapter I of Annex I of Regulation (EC) No 1/2005 of 22/12/2004 may be transported.

It must be ensured that conditions exist on the quay for the proper loading of the animals onto the livestock vessel (appropriate channeling sleeves) and that during loading operations the provisions of Annex I of Regulation (EC) No 1/2005 are complied with.

At the end of each unloading of the animals onto the vessel, the vehicles must be washed and disinfected at an authorised cleaning and disinfection centre (Commission Delegated Regulation No 2020/688 of 17 December 2019, Article 4). The planning of the operations should be done accounting for the time needed for washing and disinfection operations in the case of means of transport carrying out more than one transport in the same export.

It is recommended to maintain adequate bedding for the animals and to minimize their contact with feces and urine.

#### 4.5.3 Maritime Transport

Up to one week (5 working days) before the date set for the beginning of the shipment, the following **application for inspection of livestock vessels for loading and transport of animals by sea** must be sent to the DGAV (<u>exportacoesnavios@dgav.pt</u>, which is enclosed in Annex 5, part I, accompanied by the listed documentation and the Excel file with details of the animals to be exported (model in Annex 5, part II).

#### 4.5.4 Documentation to be Sent after the Operations

The following documents must also be submitted after the conclusion of the operations or after the journey respectively:

- Summary of the weight measurements carried out at the sea port on the
  vehicles transporting the animals, feed, straw and sawdust, accompanied by
  the respective weighing tickets and bill lading Deadline for submission
  maximum two working days after the end of the loading operations;
- Final journey report containing, amongst other data, on mortality/ morbidity, information on unloading and relevant operations and events in

www.dgav.pt 25/51





the third country (Annex 6) - Deadline for shipment no later than 5 working days after arrival at the final destination of the animals in the third country.

www.dgav.pt 26/51



from the DAV);



#### **Annexes**

# Annex I - Application for Export Quarantine Approval

(Must present 35 days before the date of departure from the holding)

ply for appr nimals of th	nd of Unit for Food oval of quaranti e species	ne for expor	t to		from
aughter (delet	te as appropriate)	as identified	below:		
guarantine	`				<del></del>
trade	emark				VAT
s No. REAP _					
e-mail			an	d	telephone
antine start_	_//2021				
ORGANISA	TION (logisti	cs related	d to	the	vessel)
in charge					
card number	·				
e-mail			and		telephone
	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	C			
					and
	(action) forec	ast date : bety	ween/		and
	(action) catin	noted data .	hoturoon	,	and
	(action) estin	nated date:	between	/ .	and
	(action) force	act data : bots	woon /		and
	(action) forec	asi date. Deti	// // // // // // // // // // // // //		anu
	(action) estin	nated date:	between	/	and
	aughter (deletes on responsible and responsibl	aughter (delete as appropriate) son responsible (HOLDING quarantine trademark  s No. REAP e-mail/2021 antine start//2021 ORGANISATION (logistication in charge card number e-mail en for controls or administration (action) forecame (action) esting	aughter (delete as appropriate) as identified son responsible (HOLDING	aughter (delete as appropriate) as identified below: son responsible (HOLDING	son responsible (HOLDING

List of animals for which quarantine authorization is requested (model to be applied for

Site plan, indicating the location of the pens and identification of the batches;

I declare that I commit myself to maintain the distribution of the animals as shown in the attached list and not to modify the composition of the quarantine with new animals.

www.dgav.pt 27/51





I am informed that this application needs to be analyzed for clearance, pending approval, of which I will be informed at the latest within 5 working days of receipt, and that this dispatch does not confer the right to automatic clearance.

Request for approval

Date and signature

www.dgav.pt 28/51





# Annex II - Application for Certification of a Livestock Vessel (Regulation (EC) No. 1/2005, of 22/12/2004)

Dear Mr./Madam Director-General for Food and Veterinary, we hereby submit the application for certification of livestock vessels identified below:

1. TRANSPORTER'S DATA (ISM of the company operating the vessel): Name of the transporter \_\_\_\_\_ Address Telephone E-mail (s) Contact person IMO number of the transporter \_\_\_\_\_ 2.DATA ON THE TRANSPORTER'S REPRESENTATIVE (when the company is not based in the European Union) Name Address Telephone E-mail (s) Contact person \_\_\_\_\_ 3. DATA OF THE VESSEL IMO number of the vessel\_\_\_\_\_ Name of the vessel Other names the vessel may previously have had\_\_\_\_\_ Flag State \_\_\_\_\_ of registration\_\_\_\_ Port Construction date Date of conversion of the vessel into a livestock vessel (if applicable) society Classification Species to be transported DATE

Transporter's signature and stamp

Signature of the legal representative





## Annex III - Application for Maritime Transporter Authorization

(Regulation (EC) No. 1/2005, 22/12/2004)

Dear Mr./Madam Director-General for Food and Veterinary, we hereby submit the application for certification of livestock vessels identified below:

1. TRANSPORTER'S DATA (ISM of the company operating the vessel): Name of the transporter \_\_\_\_\_ Address Telephone E-mail (s) Contact person IMO number of the transporter 2.DATA ON THE TRANSPORTER'S REPRESENTATIVE (when the company is not based in the European Union) Name Address Telephone E-mail (s) Contact person \_\_\_\_\_ 3. DATA OF THE VESSEL IMO number of the vessel Name of the vessel Other names the vessel may previously have had\_\_\_\_\_ Flag State of registration\_\_\_\_ Port Construction date Date of conversion of the vessel into a livestock vessel (if applicable) Classification society \_\_\_\_ Species to be transported \_\_\_\_\_ DATE

www.dgav.pt 1/51

Signature of the legal representative

Transporter's signature and stamp





# Annex IV - Updated Transporters List (Excel)

#### **Necessary Information**

Identification of the Road Transporter	Road Transporter Authorisation No.	Valid until (date)	Registration(s)	Valid until (date)	Loading area (m²)	Authorised species	Driver or attendant	N.º CAP – (Professional Aptitude Certificate) PAC No.	Valid until (date)
Example 1	PT XXXX R	PT XXXX R	R-YYYYY						
Transport of			L-BBBB						
Animals XXX			AA-BB-99						

www.dgav.pt 2/51





# Annex V - Application for Livestock Vessel Inspection for the Loading and Transportation of Animals on Livestock Vessels (Part I and Part II - Excel)

Dear	Mr./Madam	Regional	Director-General	for	Food	and	Veterinary
	re forwarding th ock vessel identi		on for loading and	transpo	orting a	nimals	by sea on a
Name	of the vessel						
Expiry		ertificate of	gulation (EC) No 1				
Name	and contact of t	he organize	r				
Name			Agent	_			
Depar	ture Port						
Date a	and time of arriv	al at the por	t of departure				
	ted departure da	ate					
Expec							
Count	ry and port of a	rival					
Estim	ated duration of	the voyage	Days an	ıd Houi	 .cs		
Anim Exce	_	s, Origin, In	nport License, Purp	ose, in	Part II o	of this I	Document -
Has t	here been a cre	w change s	ince the approval o	of the v	essel or	the la	st transport

www.dgav.pt 3/51

journey of animals? Yes  $\square$  No  $\square$ 





If so, please indicate the names of the new crew members and their experie	ence with animals
Have there been any changes to the vessel relevant to the transport of li	ve animals since
the vessel was approved or the last animal transport voyage? Yes $\square$ No	
If so, please indicate the changes that were made	
Title(transporter/organiser/agent)	
Date/	
Signature	

www.dgav.pt 4/51





### Part II/III of the Application for the Inspection of the Vessel and its Loading

Specifications about the Animals and Respective Import Licenses

Bovine Animals	Gender (M/F)	Holding Mark Quarantine	Food and Veterinary Unit (DAV) of the Quarantine	Age of the Animals	Intended use of the animals (immediate slaughter /fattening /reproduction)	Total No. of Animal s	Average weight (Kg)	Total weight (Kg)	Import License Reference	Type of Import License (immediate slaughter/fattening /reproduction)	Total quantity of the import license
Holding Name											
	Totals								Total		

Sheep	Gender (M/F)	Holding Mark Quarantine	Food and Veterinary Unit (DAV) of the Quarantine	Age of the Animals	Intended use of the animals (immediate slaughter /fattening /reproduction)	Total No. of Animal s	Average weight (Kg)	Total weight (Kg)	Import License Reference	Type of Import License (immediate slaughter/fattening /reproduction)	Total quantity of the import license
Holding Name											
	Totals								Total		

www.dgav.pt 5/51





# Annex VI - Final Report on the Export of Live Animals via Livestock Vessel

Organiser: Livestock Vessel:							
National Seaport of Departure	e:						
Loading Dates://_	Loading Dates:/ to//						
I – OPERATIONS IN THE	HOLDING						
A. Loading start time on the h	oldings:						
B. End of loading time on the	holdings:						
C. Occurrences (unfitness, tre	atment, delay,	etc.) <i>Specify in</i>	particular the corrective				
measures applied:							
No.	Occurrence (s	)	Corrective measure(s)				
1.							
II – ROAD TRANSPORT  A. No. of Travels:							
B. Changes to the loading plan	ı (vehicles, trai	lers, driver/att	endant, use of contingency				
B. Changes to the loading plan (vehicles, trailers, driver/attendant, use of contingency vehicle, etc.)?If your answer was affirmative, please specify:							
venicle, etc.)?lf your	answer was aff	firmative, pleas	e specify:				
change(s)	answer was aft	firmative, pleas	e specify:				
•	answer was af	. •	e specify:				
•		. •	e specify:				
Change(s)	IE SEAPORT	Detail (s)	e specify:				
Change(s)  III – OPERATIONS AT TH	IE SEAPORT of animals at th	Detail (s)	e specify:				
Change(s)  III – OPERATIONS AT TH  A. Starting time of the arrival	IE SEAPORT of animals at th	Detail (s)	e specify:				
Change(s)  III – OPERATIONS AT TH  A. Starting time of the arrival  B. End of loading of animals of	IE SEAPORT of animals at th	Detail (s)	Phone contact				

D. Dead during transport, per species – *Including emergency slaughter:* 

www.dgav.pt 6/51



Species	Animal identification	Holding	Transporter (vehicle and CAP)	Cause (unknown / motive - emergency killing)

E. Occurrences (unsuitability, injury - veterinary treatment, delays, escape, poor husbandry practices, emergency killing, operation stop because of the tide, use of the emergency holding, etc.) - *Specify, namely the corrective measures applied:* 

Occurrence (s)	Person in charge (s)	Corrective measure (s)

#### IV - OPERATIONS AND TRANSPORT IN THE LIVESTOCK VESSEL

- A. Time of entry of the 1st animal on the vessel:
- B. Time of entry of the last animal on the vessel:
- C. Port of departure and time of departure of the vessel:
- D. Quantity of consumables available for the animals (loaded and on board (ROB)):

Species	Feed loaded in the port	Medicated (Yes or No)	Feed on Board	Medicated (Yes or No)	Straw loaded in the port	Straw on Board	Sawdust loaded	Sawdust on Board

E. No. of transported animals:

No.	Species

F. No. of animals that fell sick during the journey:

No.	Species	Identification	Motive	Treatment	Date of the last treatment	withdrawal period

www.dgav.pt 7/51



#### G. No. of dead animals during the journey:

No.	Species	Identification	Cause

- I. Keeper/attendant on board if so, please identify:
- H. Occurrences (bad weather, delayed journey, poor quality or insufficient bedding, breakdowns, redistribution of animals, etc.) *Specify, namely the corrective measures applied:*

Occurrence (s)	Person in charge (s)	Corrective measure(s)

- I. Port of destination and Time of arrival of the vessel:
- J. Inspection of the Third country Competent Authority specify outcome and observations of inspection or attach report:
- K. Time of unloading of the last animal from the vessel:
- L. Occurrences at disembark and loading of animals (dirty animals, injuries, etc.)

Occurrence (s)	Person in charge (s)	Corrective measure(s)

M. Update the Excel attachment to the export application and send the weighing tickets to the national port.

Conclusion and final remarks/considerations:

Date and signature:

www.dgav.pt 8/51



#### Annex VII - Documentation List

#### DOCUMENTS TO SEND TO THE DSAVR

#### 35 days before

- a) Application for export request and approval of quarantine.
- b) List of animals entering quarantine (in Excel).
- c) Plan of the quarantine parks with the identification of the location of the batches of animals.

#### 5 working days before (2 working days if any changes)

d) License for import of live animals - any changes sent no later than two working days before the estimated date of the beginning of the operation

#### 35 working days before (2 working days if any changes)

e) Analytical results.

#### **DOCUMENTS TO SEND TO THE DSPA** (exportacoesnavios@dgav.pt)

#### RESPECTING THE SCHEDULE SHOWN IN ANNEX 8

<u>Documents to send on the first export to be made</u> (this documentation concerns mainly the vessel, and so each time the vessel is changed it will have to be presented).

- a) Approval Certificate of the vessel (vessel already certified) or if the vessel is not certified, submission of the application for certification.
- b) Declaration stating the commitment to inform the Competent Authority that issued the authorization, of any modification of the vessel that has an impact on animal welfare.
- c) Details of the maritime transporter, company operating the ship (if different from the maritime transporter) and legal representative in Portugal (completion of Annex 2 model for livestock vessel certification purposes).
- d) Vessel documentation:
- General plan of the vessel, with identification and dimensions of the compartments.
- · Security plan

www.dgav.pt 9/51



- Water system plan
- Drainage plan
- Ventilation plan
- · Lighting plan
- Contingency plan for animal disease/accident situations
- Crew profile, including individual experience of animal handling and care
- · Stability book
- Valid copies of the vessel's classification certificates (Load lines, SOLAS and MARPOL) and evidence of compliance with Regulation (EC) 1/2005, of 22/12/2004
- The vessel's internal procedures in terms of animal care and guarantee of Animal Welfare.
- Contingency plan, specifying the procedures adopted in situations likely to compromise the welfare of the animals, such as break-downs, delays during the journey, impediments to unloading in the third country, the presence of animals with an infectious or contagious disease or any other condition or trauma compromising the fitness of the animals for transport, including a description of the emergency slaughter methods used and the measures for the removal of the carcasses. The contingency plan should also provide for the measures to be adopted in cases where there is weather or sea conditions which could compromise the welfare of the animals. For this purpose, measures such as reducing the loading density whenever the forecasted temperatures exceed 30°c should be established at any stage of the transport, i.e. during the loading operation on the vessel, during the voyage and on arrival at the place of destination.
- e) Contingency plan of the organiser for cargo operations in the seaport
- **f)** Identification of the emergency holding where animals can be accommodated if problems arise at the seaport (accommodation of more than 4 hours).

#### Documents to be sent for all exports to be made

- a) Application for inspection of the livestock vessel
- b) Certificate of approval of the vessel (Article 19 of Reg. (EC) 1/2005)
- c) Import license (original and translation)

www.dgav.pt 10/51



- d) Vessel loading plan
- e) List of transporters and supporting documents (Authorization for transporters, means of transport and CAP) (only for transporters from other Member States)
- f) Contingency plan of the organiser:
  - a. Declaration made by the owner of the emergency holding
  - b. Declaration of the cargo platform owner
  - c. Replacement vehicle and driver
  - d. Declaration of the presence in situ of the attending Veterinarian
  - e. High temperature contingency plan (as applicable)
- g) Information on the condition of the fleece of the sheep (shorn Yes/no?)
- h) Evidence of cleaning and disinfection of the loading platform
- i) Declaration of the ceding of the loading platform (if applicable)
- j) Loading plan on holdings
- k) Report of mortality of the last 5 trips
- 1) Crew list
- m) Declaration of cleanliness and disinfection of the vessel
- n) List of medicines on board (withdrawal period, quantity and expiry date)
- o) Declaration of the master's undertaking to provide CA with information on the administration of medicines
- p) Record of the medicines administered
- q) Veterinarian prescription of medicines supplied by the organiser (if applicable)
- r) Amount of feed and bedding
- s) Label of the feed to be sent
- t) Medicated feed (yes or no)
- u) In this case, a veterinary prescription will be provided
- v) Procedure to ensure segregation (if applicable)
- w) Declaration of commitment of the captain to deliver information on medical feeding to CAs (if applicable)
- x) Forecast temperature for the vessel trip, with a master declaration regarding the safety to navigate (may be sent/delivered closer to the start of the operation)
- y) Vessel maintenance operations forecast, and declaration that the maintenance operations will not interfere with the welfare of the animals and will not increase the duration of the animal transport

www.dgav.pt 11/51



- z) Fuel autonomy declaration, to make sure that there is no filling up fuel with animals a board
- aa) Contingency plan of the vessel specifying the actions adopted in situations that can compromise the welfare of the animals- ex: delays of the vessel, vessel breakdown, bad weather and sea conditions, etc..
- bb) Stability calculations and declaration about these adequacy to different times of the journey
- cc) Planning of animal bedding operations
- dd)If applicable, identify and provide CV for appreciation of the stockman/veterinary aboard

www.dgav.pt 12/51



## **Summary Table of Documentation Required for Export Operations**

Documents to be submitted for animal export approval	Official Checking and Control	Deadline for submission
Application for export request and quarantine approval.	DSAVR	35 days before the expected departure date
List of animals starting quarantine (in Excel)	DSAVR	35 days before the expected departure date
Plan of the quarantine parks with the identification of the location of the animal lots.	DSAVR	35 days before the expected departure date
Analytical results	DSAVR	3 working days before shipment (*)
Import licenses	DSAVR and DBEA	5 working days before the inspection of the vessel (*)
Application for inspection of a vessel for loading animals	DSAVR and DBEA	5 working days before the inspection of the vessel (*)
List of transporters and corresponding supporting documents (Authorization for transporters, means of transport and CAP)	DSAVR and DBEA	5 working days before the inspection of the vessel (*)
Loading plan of farms to port	DSAVR and DBEA	5 working days before the inspection of the vessel (*)
Contingency plan (declaration of owner of the emergency holding, replacement vehicle and driver, declaration of the presence <i>in situ</i> of the attending Veterinarian, COVID 19 contingency plan)	DSAVR and DBEA	5 working days before the inspection of the vessel (*)
Evidence of cleaning and disinfection of the loading platform	DSAVR and DBEA	5 working days before the inspection of the vessel (*)
European Vessel Approval Certificate	DBEA	5 working days before the inspection of the vessel (*)

www.dgav.pt 13/51



Documents to be submitted for animal export approval	Official Checking and Control	Deadline for submission
Vessel's loading plan	DBEA	5 working days before the inspection of the vessel (*)
Reports from the vessel's captain - last 5 voyages in which details of mortality are included.	DBEA	5 working days before the inspection of the vessel (*)
Information on the condition of the fleece of the sheep (shorn Yes/no?)	DBEA	5 working days before the inspection of the vessel (*)
Declaration identifying the platform(s) to be used	DBEA	5 working days before the inspection of the vessel (*)
Crew list	DBEA	5 working days before the inspection of the vessel (*)
Declaration of cleanliness and disinfection of the vessel	DBEA	5 working days before the inspection of the vessel (*)
List of medicines on board (withdrawal period, quantity and expiry date)	DBEA	5 working days before the inspection of the vessel (*)
Declaration of commitment of the captain to provide information on the administration of medicines to the CAs	DBEA	5 working days before the inspection of the vessel (*)
Record of medicines administered	DBEA	5 working days before the inspection of the vessel (*)
Veterinarian's prescription for medication provided by the organiser (if applicable)	DBEA	5 working days before the inspection of the vessel (*)
Quantity of feed and bedding	DBEA	5 working days before the

www.dgav.pt 14/51



Documents to be submitted for animal export approval	Official Checking and Control	Deadline for submission
		inspection of the vessel (*)
Planning of animal bedding operations	DBEA	5 working days before the inspection of the vessel (*)
Label of the feed to be sent (Portuguese and English)	DBEA	5 working days before the inspection of the vessel (*)
Medicated feed (yes or no) - If so, Veterinarian's prescription is needed	DBEA	5 working days before the inspection of the vessel (*)
Procedure to ensure segregation of different feeds (if applicable)	DBEA	5 working days before the inspection of the vessel (*)
Declaration of commitment by the captain to provide the CA with information on medicated feeding (if applicable)	DBEA	5 working days before the inspection of the vessel (*)
Forecast of maintenance operations to the vessel	DBEA	5 working days before the inspection of the vessel (*)
Temperature forecast for the vessel trip (it can be sent/delivered at a date closer to the start of the operation)	DBEA	Limit until the last working day before the inspection
Summary and weighing receipts of the vehicles transporting the animals, feed, straw and sawdust, and "bill of lading"	DBEA	Up to two working days after the date of departure of the vessel
Final Export Report	DBEA	Up to 5 working days after the end of the sea journey

www.dgav.pt 15/51





### Annex VIII - Schedule

## TIME PERIOD BEFORE THE EXPORT DATE TIME PERIOD AFTER THE EXPORT DATE

	2112								
	35 days	5 workin g days	4 worki ng days	3 worki ng days	2 working days - deadline for submission of changes to documents	Day of departure /shipment	2 working days after the end of the loading operation	5 working days after the end of the trip/journ ey	
Export and quarantine application									
List of animals entering quarantine and location plan									
Approval certificate of the vessel (vessel already certified - 1st time in Portugal) or if the vessel is not certified, delivery of the certification request - 20 working days before the scheduled date for the certification survey. Known vessel - 5 working days									
Live Animals Import License									
Documentation for animal transporters									
Journey planning documentation									
Application for loading and transport of animals									

www.dgav.pt





## TIME PERIOD BEFORE THE EXPORT

## TIME PERIOD AFTER THE EXPORT DATE

	35 days	5 workin g days	4 worki ng days	3 worki ng days	2 working days - deadline for submission of changes to documents	Day of departure /shipment	2 working days after the end of the loading operation	5 working days after the end of the trip/journ ey
Vessel's loading plan								
Results of health analysis and certificates								
Inspection of the vessel for certification purposes								
Control of animals, means of transport (vessel and road vehicles) and operations (loading, unloading and handling) in the seaport and on holdings								
Summary and weighing receipts of vehicles transporting animals, feed, straw and sawdust, and bill of lading								
Trip data compilation report								

DATE

www.dgav.pt 17/51

